

### **ELECTRONIC INFORMATION DISCLOSURE STATEMENT**

RADE POST Version v18 Stylesheet Version v18.0

> Title of Invention

SCREENING TECHNIQUES FOR MANAGEMENT OF A NERVOUS SYSTEM DISORDER

Application Number:

10/687344

\*10/687344\*

Confirmation Number:

7817

First Named Applicant:

Ivan Osorio

Attorney Docket Number: 011738.00149

Art Unit:

3736

Examiner:

Unassigned Unassigned

Search string:

(5372607 or 5611350 or 6066163 or 6094598 or 6308102).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
MA	1	5372607	1994-12-13	Stone			
	2	5611350	1997-03-18	John			
	3	6066163	2000-05-23	John			
	4	6094598	2000-07-25	Elsberry			
4	5	6308102	2001-10-23	Sieracki			

### Signature

/ / Examiner Name	Date
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PTO/SB/08A (10-01) Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Complete if Known

10/687,344

011738.00149

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

First Named Inventor
Group Art Unit
Examiner Name

Attorney Docket Number

Filing Date

Application Number

October 15, 2003
Osorio
Unassigned
Unassigned

(use as many sheets as necessary)

Sheet of

	U.S. PATENT DOCUMENTS						
Examiner Initials *	Cile No.1	Document Number  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		

	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document		Name of Patentee or	Pages, Columns, Lines, Where Relevant			
Initials*	No.1	Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Applicant of Cited Document	Passages or Relevant Figures Appear	T⁰		
M		EP 0911 061 A2	04/28/1999					

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²				

Examiner Signature	1/1		Date Considered	8/	2005	

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<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional) . <sup>2</sup> See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WiPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WiPO Standard ST. 16 if possible. <sup>8</sup> Applicant is to place a check mark here if English language Translation is attached.

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 2

	Complete if Known	
Application Number	10/687,344	
Filing Date	October 15, 2003	
First Named Inventor	Ivan Osorio	
Art Unii	3736	
Examiner Name	Not assigned	
Attorney Docket Number	011738.00149	

			U.S. PATENT I	DOCUMENTS	
Examiner Cite		Document Number	Publication Date	Name of Palentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan
Initials *	Cite No. <sup>1</sup>	Number - Kind Code <sup>2</sup> (il known)	MM-DD-YYYY	Cited pormusin	Passages or Relevant Figures Appear
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		US- 6,587,727	07/01/2003	Osorio, et al.	
		US- 5,597,954	07/22/2003	Pless, et al.	·
11/	}	US- 6,609,030	08/19/2003	Rezai, et al.	
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	FOREIGN PATENT DOCUMENTS							
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Substitute for form 1449B/PTO Complete if Known Application Number 10/687,344 INFORMATION DISCLOSURE Filing Date October 15, 2003 STATEMENT BY APPLICANT First Named Inventor Mark Frei Art Unit 3736 (Use as many sheets as necessary) Examiner Name Not assigned Sheet 011738.00149 Attorney Docket Number

Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
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Substitute for form 1449A/PTO Complete if Known Application Number 10/687.344 FORMATION DISCLOSURE Filing Date October 15, 2003 ATEMENT BY APPLICANT First Named Inventor Ivan Osorio Art Unit 3736 (Use as many sheets as necessary) Examiner Name TBD Sheet 011738.00149 Attorney Docket Number

U.S. PATENT DOCUMENTS							
Eurosiana	Cite	Document Number	Issue/Publication Date	Name of Patentee or Applicant of	Pages, Columns, Lines, Where Relevan		
Examinar Cit Initials No	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Cited Document	Passages or Relevant Figures Appear		
M		US- 3522811	08/04/1970	Schwartz et al.			
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1		US- 4295474	10/20/1981	Robert E. Fischell			
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1	<u> </u>	US- 4417592	11/29/1983	John E. Roy			
		US- 4566464	01/28/1986	Piconne et al.			
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1		US- 4944299	07/31/1990	Sergiu Sulvian			
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		US- 6647296	11/11/2003	Fischell et al.			
<b>1</b> /		US- 2002/0013614	01/31/2002	David L. Thompson			

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Examiner Signature	Must	II HE	Date Considered	8/2005	

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Substitute for form 1449A/PTO Complete If Known Application Number 10/687,344 INFORMATION DISCLOSURE Filing Date October 15, 2003 STATEMENT BY APPLICANT First Named Inventor Ivan Osorio 3736 Art Unit (Use as many sheets as necessary) **TBD** Examiner Name 011738.00149 Sheet of Attorney Dockel Number

			U.S. PATENT	DOCUMENTS		
Examiner	Cite	Document Number	Publication Date MM-DD-YYYY	Name of Patentes or Applicant of Cited Document	Pages, Columns, Lines, Where Relevan Passages or Relevant	
Initials *	No.1	Number - Kind Code <sup>2</sup> (if known)	MM-UU-TTTT		Figures Appear	
MA		US- 5487754	01/30/1996	Snell et al.		
1	T	US- 5626145	05/06/1997	Clapp et al.		
		US- 5683422	11/04/1997	Mark T. Rise		
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	<b></b>	US- 6016449	01/18/2000	Fischell et al.		
	<b>†</b>	US- 6018682	01/25/2000	Mark T. Rise		
	<b></b>	US- 6066163	05/23/2000	Michael Sasha John		
		US- 6083248	07/04/2000	David L. Thompson		
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<del></del>	<del>                                     </del>	US- 6337997	01/08/2002	Mark T. Rise		
-+		US- 6341236	01/22/2002	Osorio et al.	1	
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		US- 6366813	04/02/2002	Daniel J. Di Lorenzo		
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	t	US- 6427086	07/30/2002	Fischell et al.	······································	
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	<del> </del>	US- 6466822	10/15/2002	Benjamin D. Pless		
1/	<del>                                     </del>	US- 6473639	10/24/2002	Fischell et al.		

Examiner Signature Date Considered 8/2005

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PTC/SB/08b(08-03)

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	Substitute	for form 144	9B/PTO			Complete if Known			
	INICO		ON D	10		Application Number	10/687,344		
					CLOSURE	Filing Date	October 15, 2003		
	STAT	remen	T BY	ΑF	PPLICANT	First Named Inventor	Ivan Osorio		
						Art Unit	3736		
		(Use as ma	iny sheets	as i	necessary)	Examiner Name.	TBD		
$\overline{}$	Sheet	3	10	f	4	Attorney Docket Number	011738.00149		

		NON PATENT LITERATURE DOCUMENTS					
Examiner Cite No.1		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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		Gotman J., & Gloor, P., "Automatic Recognition and Quantification of Linterictal Epileptic Activity in the Human Scalp EEG", Electroencephalography and Clinical Neurophysiology, 1976, 41:513-529.					
		B. Litt and J. Echauz, "Prediction of Epileptic Seizures", 1 The Lancet Neurology 22 May 2002					
		H. Qu & J. Gotman, "A Seizure Warning System For Long-term Epilepsy Monitoring", 45 Neurology 2250, 1995					
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7		Grassberger, Peter et al., "Characterization of Strange Attractors" Vol. 50, No.5., The American Physical Society, January 31, 1983.					

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Examiner Signature	11/1	M	#	7	Date Considered	8/2000

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Substitut	e for form 1449	в/РТО		Complete if Known			
INIEC	NOBE A 71	0N 010	OL OCUDE	Application Number	10/687,344		
			CLOSURE	Filing Date	October 15, 2003		
STA	TEMEN'	T BY A	PPLICANT	First Named Inventor	Ivan Osorio		
				Art Unit	3736		
	(Use as ma	ny sheets as	necessary)	Examiner Name .	TBD		
Sheet	4	of	4	Attorney Docket Number	011738.00149		

		NON PATENT LITERATURE DOCUMENTS					
Examiner Initials *	Cite No. <sup>1</sup>	nclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
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		Osorio, Ivan, et al., "Real-Time Automated Detection and Quantitative Analysis of Seizures and Short-Term Prediction of Clinical Onset" Epilepsia, Vol. 39(6) pgs. 615-627, (1998)					
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Examiner Signature	III H		Date Considered	8/2005	
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